

STATE OF NORTH CAROLINA

File No.

In The General Court Of Justice  
Superior Court Division

County

Name Of Estate/Guardianship/Trust

FIDUCIARY QUESTIONNAIRE

Full name of applicant: \_\_\_\_\_

List ALL names and aliases you have ever used:

\_\_\_\_\_  
\_\_\_\_\_

Date of Birth: \_\_\_\_\_

What is your relationship to the Decedent/Ward/Minor? \_\_\_\_\_

Have you ever been convicted of a crime (felony or misdemeanor)?  YES  NO

If YES, list ALL conviction(s) in North Carolina and other States below:

\_\_\_\_\_  Misdemeanor  Felony  
\_\_\_\_\_  Misdemeanor  Felony

Do you currently have any pending criminal charges (felony or misdemeanor)?  YES  NO

If YES, list ALL pending charges in North Carolina and other States below:

\_\_\_\_\_  Misdemeanor  Felony  
\_\_\_\_\_  Misdemeanor  Felony

**NOTE:** We may do a background check. Failure to fully disclose and convictions will result in denial or removal as fiduciary. Pursuant to N.C.G.S 28A-4-2(3); 35A-1214, a person convicted of a felony and whose rights have not been restored cannot be appointed or serve as a fiduciary.

Do you consent to a criminal background check in other States where you have lived?  YES  NO

List ALL previous States in which you have resided:

\_\_\_\_\_  
\_\_\_\_\_

Have you ever filed for bankruptcy?  YES  NO

If YES, provide year of bankruptcy: \_\_\_\_\_

If a Surety Bond is required, are you able to be bonded?  YES  NO

Pursuant to N.C.G.S. 28A-8-1, -1.1, -2, -3; 35A-1231, Bonds are required for Administrators residing outside of North Carolina, Estate Administrations in which the heirs are minors or have not waived the personal representative's bond, and Guardians of the Estate or General Guardians.

By signing below I swear/affirm that the foregoing information is true and accurate to the best of knowledge. I understand that if I fail to report any criminal convictions or pending charges, I may not be appointed as the fiduciary for this estate/guardianship/trust.

|                        |      |                      |      |
|------------------------|------|----------------------|------|
| Signature of Applicant | Date | Signature of Witness | Date |
|------------------------|------|----------------------|------|

Please use attachment to list additional information